

CONGESTION CONTROLLER FOR ETHERNET SWITCH

## BACKGROUND OF THE INVENTION

## 5           1.   Field of the Invention

The present invention relates to a congestion controller, and in particular, it relates to a congestion control system to control transmission traffic using a PAUSE frame when congestion occurs in an environment in which a plurality of Ethernet switches are connected.

## 10           2.   Description of the Related Art

Conventionally, if congestion occurs in an Ethernet (Registered Trademark) network, a PAUSE frame defined by IEEE 802.3 is sent from a switch such as a switching hub, in which the congestion occurs, to inner switches, to thereby control input traffic (See Note 1).

Fig. 1 shows an example of congestion control using a PAUSE frame, and Fig. 2 shows a PAUSE frame format.

20           In Fig. 1, if congestion occurs in a link "a" on the transmission port side of a switch 1 (SW1), the congestion is detected by a threshold value of a buffer (queue) in the switch 1. Thereafter, in order to restrict the transmission traffic from inner switches 2-5 (SW2-SW5), the switch 1 sends a PAUSE frame to the switches 2-5. As shown in Fig. 2, the PAUSE frame sets, as the destination address (DA: Destination Address), a multicast address representing the PAUSE frame.

30           In a parameter field of the PAUSE frame, a timer value of Pause time, e.g., XX (ms) is set. If the timer value is 0, it represents that the PAUSE state is released and the transmission state is established. Other fields are the same as those of a typical Ethernet frame and are not discussed herein.

35           The inner switches 2-5 suppress traffic transmission to the outer switch 1 with which the congestion has occurred, for a predetermined time, based

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on the timer value included in the received PAUSE frame. If the node which receives the PAUSE frame is a terminal, transmission is suppressed temporarily based on the timer value, as long as the terminal has a NIC (Network  
5 Interface Card) which supports the PAUSE frame. When the PAUSE time is up, the transmission which has been suspended is resumed.

Note 1: Okabe Yasuichi <Detailed TCP/IP Protocol 9th Ethernet (No. 4) Flow Control and VLAN, Troubleshooting 1. Flow Control of Ethernet> [online]  
10 October 2nd, 2001, Network Technology Lecture, [Searched on September 9, 2002], Internet <URL: <http://www.atmarkit.co.jp/fwin2k/network/tcpip009/tcpip01.html>>

15 As described above, the PAUSE frame defined by IEEE 802.3 causes the switch 1 with which the congestion has been detected to request the inner switches 2-5 and lower terminals thereof to suppress transmission of all the traffic to the switch 1 for a predetermined time.  
20 However, such conventional operations have problems discussed below.

(1) Reservation of QoS (Quality of Service)

Because a PAUSE frame does not distinguish the kinds of traffic, e.g., audio and/or video traffic  
25 which have strict requirements on delay time and jitter, etc., and data traffic such as FTP (File Transfer Protocol) which has less strict requirements are treated equally. In particular, the QoS for the former traffic cannot be reserved.

30 (2) Improvement of Effective Throughput

Because transmission of all the traffic to the switch 1 from the switches 2-5 and lower terminals thereof is simultaneously suppressed for a predetermined time, there is a possibility that the PAUSE times lapse  
35 concurrently, so that congestion state and non-congestion state repeatedly appear. To improve the throughput, it is preferable that the amount of traffic be constant

without fluctuating. The effective throughput cannot be improved by the conventional congestion control.

(3) Equality of Traffic

5 All the flows are stopped without identifying which flow causes the congestion, it is possible to allocate bandwidth equally to a plurality of existing flows. Namely, transmissions of traffic other than the traffic with which the congestion has occurred are equally suppressed.

10 SUMMARY OF THE INVENTION

The present invention is, in view of the above problems, aimed to provide a congestion controller in which

15 when the transmission traffic is controlled in accordance with a PAUSE frame in the event that congestion occurs in an environment in which a plurality of Ethernet switches are connected, the attributes of the traffic are analyzed, whereby the improvement of QoS and the effective throughput, and equality of each traffic  
20 can be achieved.

According to the present invention, there is provided a congestion controller for an Ethernet switch comprising

25 a plurality of transmission queues which have different priorities,

a receiving means for receiving a PAUSE frame,  
a suppression means for suppressing transmission traffic from the transmission queues by the received PAUSE frame, wherein

30 the suppression means suppresses the transmission traffic from a transmission queue of the lowest priority by the PAUSE frame received at a time other than the PAUSE time, and restricts the transmission traffic from the transmission queue of the highest  
35 priority, by the PAUSE frame received during the PAUSE time.

According to the present invention, there is

provided a congestion controller for an Ethernet switch comprising

5           a transmission queue,  
          a receiving means for receiving a PAUSE frame,  
          a shaping means for shaping the transmission  
traffic from the transmission queue by the received PAUSE  
frame, wherein

10           the shaping means restricts transmission speed  
of the transmission traffic from the transmission queue  
to or below a transmission speed based on a predetermined  
shaping value.

According to the present invention, there provided a  
congestion controller for an Ethernet switch comprising

15           a transmission queue,  
          an identifying means for identifying an input  
port which causes congestion by counting packets resident  
in the transmission queue corresponding to the input  
port,

20           a transmission means for transmitting a PAUSE  
frame to other switch which is connected to the  
identified input port.

#### BRIEF DESCRIPTION OF THE DRAWINGS

25           The present invention will be more clearly  
understood from the description as set forth below with  
reference to the accompanying drawings.

Fig. 1 shows an example of a conventional congestion  
control by a PAUSE frame.

Fig. 2 shows an example of a format of a PAUSE  
frame.

30           Fig. 3 shows an example of a network construction to  
which the present invention is applied.

Fig. 4 shows a first embodiment of the present  
invention.

Fig. 5 shows an example of a control flow in Fig. 4.

35           Fig. 6 shows a second embodiment of the present  
invention.

Fig. 7 shows an example of a control flow in Fig. 6.

Fig. 8 shows an example (1) of a shaping operation in Fig. 6.

Fig. 9 shows an example (2) of a shaping operation in Fig. 6.

5 Fig. 10 shows a third embodiment of the present invention.

Fig. 11 shows an example (1) of a control flow in Fig. 10.

10 Fig. 12 shows an example (2) of a control flow in Fig. 10.

Fig. 13 schematically shows an example of a traffic analyzing process.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

15 Fig. 3 shows an example of a network construction according to the present invention.

In this embodiment, the structure is basically the same as that shown in Fig. 1 and described above, each of, for example, switches 2 and 6 which are connected to one of the receiving ports of the switch 1 and each of  
20 switches 3, 7, 8 etc., which are connected to other receiving ports configure a transmission unit of a PAUSE frame multicast. In this example, congestion occurs at a link "α" of a sending port of the switch 1.

25 Fig. 4 shows a first embodiment of the present invention and Fig. 5 shows an example of a control flow shown in Fig. 4.

In this embodiment, a congestion control is performed to reserve QoS. First, the switch 1 of which the congestion is detected based on a threshold value or  
30 the like of a buffer sends a PAUSE frame to the inner switches 2-5 (S101 and S102).

The PAUSE frame is detected by a CPU 21 in each of the switches 2-5 (S103), and is transmitted to a scheduler 22. The scheduler 22 controls transmission  
35 queues 23-25 having high, middle, or low priority to thereby control the transmission traffic based on the priority. For example, the high priority is assigned to

video and/or audio data which requires real-time processing, and the low priority is assigned to data transmission such as FTP.

5 The scheduler 22 determines the transferred PAUSE frame. If the PAUSE frame is received at a time other than the PAUSE time (normal transmission period), transmission from the low priority queue 25 is controlled (S104 and S105). Another PAUSE frame is received during the PAUSE time, transmission from the middle priority  
10 queue 24 is controlled (S104 and S106).

When the timer of the PAUSE time is up, or a PAUSE completion notification (the timer value of the PAUSE frame = "0") is received, transmission of the suspended queue begins (S107 and S108). As described above,  
15 according to the present invention, the PAUSE operation in consideration of the priority can be carried out. As a result, video and/or audio traffic of the high priority are not be disrupted and the QoS thereof can be reserved, even if the PAUSE frame is received.

20 Fig. 6 shows a second embodiment of the present invention, and Fig. 7 shows an example of a control flow of Fig. 6.

In this embodiment, a congestion control in which the effective throughput is improved by shaping is  
25 performed. First, the switch 1 of which congestion has been based on by a threshold value or the like of a buffer sends a PAUSE frame to inner switches 2-5 (S201 and S202).

The PAUSE frame is detected by the CPU 21 in each of  
30 the switches 2-5 (S203), and is transferred to a shaper 31. The shaper 31 starts the shaping operation to restrict the transmission speed of transmission queue 32 to 50% of the original physical speed (S204).

Fig. 8 and Fig. 9 show examples of the shaping  
35 operation shown in Fig. 6.

In an example of Fig. 8, a gap is calculated based on the frame length. Namely, a gap based on the frame

length of each transmission frame and the shaping rate value (50%) is calculated and obtained by the following formula:

Gap value[sec]=frame length[sec]×(100-shaping  
5 rate[%])+100

As a result, a gap of an identical frame length is added to each transmission frame from the transmission queue 32, and thus, the shaping operation in which, for example, the transmission speed is limited to 50% of the  
10 physical speed is performed. In an example of Fig. 9, the time  $\Delta t$  for which the frame transmission normally lasts is reduced to  $\Delta t / 2$ , so that the shaping is achieved by 50%.

After that, when the timer of the PAUSE time expires  
15 or the PAUSE completion notification (the timer value of the PAUSE frame = "0") is received, the shaping operation ends and normal data transmission is resumed (S205 and S206). In this example, the shaping values (%) of the inner switches 2-5 are pre-determined to prevent  
20 congestion at the switch 1.

Namely, the shaping value (%) of each inner switch 2-5 is determined so that a sum of the effective transmission speeds of the inner switches 2-5 does not exceed the effective transmission speed of the switch 1.  
25 As described above, in this example, the switches 2-5 can continue the transmission even if the PAUSE frame is received, and accordingly, a congestion control in which the resultant effective throughput of the whole network is achieved can be achieved.

Alternatively, this example can be combined with the  
30 first embodiment, wherein for example, the shaping operation is not performed for the high priority queue 23, or the shaping degree is increased to 80% instead of suppression of the transmission of the low priority queue  
35 25 to thereby reserve the QoS and enhance the effective throughput improvement.

Fig. 10 shows a third embodiment of the present invention. Fig. 11 and Fig. 12 show an example of a control flow of Fig. 10.

5 In this embodiment, a congestion control is performed to achieve equality of the traffic by allocating the bandwidth equally to a plurality of the existing flows. In contrast to the first and second embodiments applied to the inner switches 2-5, this embodiment is applied to the switch 1.

10 In Fig. 10, the switch 1 detects the congestion when the transmission queue 42 of the sending port to the link "α" exceeds a predetermined threshold value (S302). Thereafter, in this embodiment, attribute of the packet (e.g., sending address or port number of TCP/UDP)  
15 resident in the transmission queue 42 is analyzed (S302). The detailed flow of this congestion factor traffic analysis routine is shown in Fig. 12.

In Fig. 12, first, the senders' addresses of the X packets (for example, X=100) in the late input frame are  
20 checked, and corresponding input ports are retrieved with reference to a table 43 (Fig. 10) comprised of a learning table or a routing table of the MAC address, based on the sender's addresses (S401 and S402). Next, the number of the received packets is counted for each searched input  
25 port, by a counter 41 (Fig. 10). Thus, the input port in which the count value is largest can be specified (S403 and S404).

Fig. 13 schematically shows an example of the above analyzing process.

30 (a) in the drawing shows an operation when the number of the frames (packets) resident in the transmission queue 42 is below the threshold value. When the number of the frames reaches the threshold value as shown in (b) in the drawing, in this example, the  
35 senders' addresses of the preceding 100 frames including the frame by which the number of the frames becomes the threshold value are checked, and the corresponding input



ports are retrieved with reference to the table 43.

It is obvious from Fig. 10 that marks ○, □, etc., represent frames from different input ports.

Accordingly, the respective numbers of the frames ○, frames □, etc., are counted by the respective counters 41. For example, if the number of the frames ○: frames □: frames ..., are 1, m and n, respectively (wherein  $1 > m$ , n, it is judged that the input port of the frames ○ is the cause of congestion, and is subject to the congestion control (S403 and S404).

In the above example, an input port of the largest number of frames is determined to be cause of congestion, but it is also possible to judge that an input port of the specific frames corresponding to those not less than 50% of the total resident frames is the cause of the congestion. Alternatively, a predetermined number of frames is continuously monitored, so that the flow which causes the congestion can be determined from the information of the resident frames at the time when the congestion is detected.

Referring to Figs. 10 and 11, the switch 1 sends a PAUSE frame only to an input port of the traffic (frame ○) which has been identified as the cause of congestion (S303). In the example of Fig. 10, the PAUSE frame is sent to the switch 2 connected to the above input port. Accordingly, only the switch 2 which has received the PAUSE frame starts the PAUSE process and the control ends (S304-S308).

Moreover, the attributes of the packet (e.g., sending address, port number of TCP/UDP, etc.) whose traffic has been specified as the cause of congestion are preset in the PAUSE frame, so that the switch 2 which receives the PAUSE frame can suppress transmission of only that traffic. In this alternative, the switch 2 must be provided with function to discriminate the specific attribute and dynamically restrict the corresponding traffic.

As described above, in this example, as only a switch specified as the cause of congestion becomes an object for the PAUSE process, it is possible to prevent only the switch from occupying network resource,  
5 resulting in equal allocation of the bandwidth to other existing switches.

This embodiment is applied to the switch 1 which detects the congestion and can be combined with the first and the second embodiments applied to the inner switches  
10 2-5 to carry out a congestion control in which the QoS is reserved, the effective throughput is enhanced, and equality of the traffic is ensured.

As described above, according to the present invention, a congestion control considering the QoS can  
15 be performed. Moreover, according to the present invention, no repetitive appearance of the congestion state and non-congestion state occurs, and the effective throughput in the network in which the congestion has occurred can be improved. Furthermore, according to the  
20 present invention, only a specified transmission traffic which causes the congestion is restricted, whereby equal utilization of the network resource can be ensured.